Contextual Debugging and Analysis of Multi–threaded Applications

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September 29, 1994

1 Introduction

The reasons for supporting multiple threads of control fall into two categories [2], those motivated by efficient utilization of multiprocessor hardware and those motivated by application concurrency. The both reasons justify an effort to develop multi–threaded software and put enough impact to force programmers to rewrite many existing applications [1, 2].

As is true of many system services today, the programmers view of the multiple threads of control service is not always identical to what the kernel implements. The software view is created by a combination of the kernel, run-time libraries, and the compilation system. This approach increases the portability of applications and systems, by hiding some details of implementation, while providing better performance, by allowing library code to do some work without involving the kernel. So the threads library level is the most interesting for an application programmer.

Multi–threaded programs are specially difficult to test and debug. They suffer from the same problems as concurrent and parallel programming paradigms. Multiplicity of threads, concurrency of their execution, synchronization and communication between them, make an identification of the program state very sophisticated. In this situation monitoring and tracing of the program behavior is a very complicated task. Usually an execution of one thread depends on the context or is related to the conditions manipulated by other threads. It is very difficult to follow a history of the program components, those related to programming with threads such as mutexes, condition variables, locks or semaphores usage. Unfortunately an access to modern analysis and debugging tools for multi–threaded applications is still very limited [12, 8].

The aim of this paper is to present a new concept of a multi–threaded programs analysis and debugging based on contextual visualisation of the program components that influence threads execution. For this purpose a dedicated software package called **MTV** (Multi-Threading Viewer) has been designed and implemented. It performs on the run-time library level, hence only a programmers' view of multiple threads control execution may be analyzed. The proposed idea is general and could work for any threads library [4, 8, 11] but the investigated in this paper version of software runs under Solaris 2.3 and exploits SunOS 5.3 Multi–thread Architecture. This architecture has been chosen not only for availability reason but it has modern two-level structure and well defined interface to user threads library.

The remainder of this paper is divided into five sections. The first gives a discussion of the major design assumptions and the model of activity represented by **MTV**. This covers a tested program code instrumentation, communication and synchronization between the instrumented program and **MTV**. In Section 2 general concept of contextual visualisation of multi–threaded program have been elaborated. MTV cooperation with monitored program implementation details have been discussed in Section 3. In Section 4 **MTV** user interface has been described. Next, in Section 5 representation of multi–threaded program state has been shown, capability of **MTV** for certain classes of error recognition has been specified and illustrated in more details by a few examples. These examples are not intended to be exhaustive, but they indicate the opportunities to exploit **MTV** for analysis of complex applications. Short evaluation of the proposed contextual visualisation techniques with application to multi–threaded programs analysis concludes the paper.

2 General concept of contextual visualization

There are a few related problems in the analysis of multi–threaded application behavior. The most fundamental one concerns a definition of the program state and representation of the execution history in the form suitable for correctness of the program analysis. It influences a choice of the program under test control technique and the method of collecting monitored information.

The proposed approach depends also on the general assumptions about a class of the investigated analysis tool such as:

1. Multi–threaded program should be analyzed on-line and the tool should provide mechanisms for its execution step by step, setting breakpoints or animation with the chosen speed.

2. Influence of the tool on a program under test behavior should be limited as much as possible. It means in particular that relative timings between the independent threads of execution should be preserved. This condition is crucial for correctness of concurrent systems activity analysis.

3. Code instrumentation should be performed automatically without any manual intervention of a programmer and should provide any relevant information about threads execution.

4. Information collected during a program execution should be presented in the form easy to analyse and the system should navigate its review in the most appropriate way.

The definition of the program state in this paper covers only its multi–threaded aspects. It is represented by five lists containing the following information about so called threads elements:

1. Threads of the program,

2. Mutual exclusion (mutex) locks,

3. Condition variables,

4. Counting semaphores,

5. Multiple readers, single writer (readers/writer) locks.

The last four lists correspond to the synchronization primitives typically available in many threads libraries, in particular they are provided by the Solaris 2.3 threads library. Each entry on these lists represents a record containing the whole accessible information about the relevant item and the context in which a given item exists.

For instance the record which describes a mutual exclusion lock contains: name of the source program file and line number where the lock was created, pointer to the lock, type of the lock, descriptor of the thread that created the lock, pointer to the list of threads that possess the lock, pointer to the list of threads that are suspended on this lock.

The idea of contextual analysis proposed in this paper may be shortly described as a process of automatic association of the given inspected item from one list with related items from remaining lists. The association process provides additional information difficult to find manually, and as will be shown in Section 5 makes if possible to identify many errors. This conclusion together with assumptions pointed out in the beginning of this section had a substantial impact on **MTV** architecture.

According to the assumption a multi–threaded program is monitored on-line during its execution. Taking into account the definition of multi–threaded program state, its changes may occur only when a call to the threads library function is performed. So the state transition is associated with the following events:

- thread creation,
- thread destruction,
- another call to the threads library function.

These events are reported by a special functions that are put into the monitored program code during so called instrumentation process. The instrumented monitored program and **MTV** are two separate processes. Interactions between these processes consist of the following general steps:

1. Inside the global monitor the instrumented code collects information about thread's function call.
2. The packet with the collected information is sent to **MTV**,
3. **MTV** freezes the monitored program and starts received information processing,
4. when **MTV** is ready for a new event processing it resumes the monitored program activity.

The program under test is "frozen" by **MTV** any time these events occur. It means that all threads in the program are stopped. When the program is frozen, **MTV** has time to do any necessary processing and visualization, without any risk that some threads are executed in parallel. This preserves the relative timing between threads in the tested process.

On multiprocessor more than one thread may call a thread library function in the same time. Here rises a general problem of visualization such truely parallel state. Because **MTV** is single threaded process any concurrent requests from tested program are, in fact, handled sequentially. To solve this problems out, messages are sent to **MTV** inside of global monitor. The sequential order of sent messages in that case is achieved. If it is possible, the thread function call is made also inside the global monitor to preserve the real process state seen by **MTV** (see next: type 2 of code instrumentation). In this case some of the threads library functions in a multi–threaded program can lose their ability of really parallel execution on multiprocessor architecture.

3 MTV cooperation with monitored program – implementation details

3.1 Code program instrumentation

The monitored program is instrumented by the preprocessor, which replaces each thread function call by the corresponding function from the **MTV** library.

Depending on the thread function type, the instrumentation function has one of the three possible structure shown in Table 1.

<table>
<tr><th>Type</th><th>Function structure</th></tr>
<tr><td>1</td><td><pre>int MTV_thr_function(filename, line, arg1,...,argn);
{
  int err;
  Before_Thr_Function(filename, line, arg1,...,argn);
  err = thr_function(arg1,...,argn);
  After_Thr_Function_1(filename, line, arg1,...,argn);
  return(err)
}</pre></td></tr>
<tr><td>2</td><td><pre>int MTV_thr_function(filename, line, arg1,...,argn);
{
  int err;
  MT_VIEWER_LOCK;
  err = thr_function(arg1,...,argn);
  After_Thr_Function_2(filename, line, arg1,...,argn);
  KILL_MTV;
  STOP_SELF;
  MT_VIEWER_UNLOCK;
  return(err);
}</pre></td></tr>
<tr><td>3</td><td><pre>int MTV_thr_function(filename, line, arg1,...,argn);
{
  run_thread_arg_ptr_t run_thread_arg_ptr;
  Before_Thr_Exit(filename, line, arg1,...,argn);
  thr_getspecific(_MT_SPECIFICDATA_KEY, (void **)&run_thread_arg_ptr);
  free(run_thread_arg_ptr);
  thr_exit(status);
}</pre></td></tr>
</table>

Table 1: Instrumentation function structures types

The most common situation is when a thread function call may suspend the calling thread. It means that the state before and after the function call is interesting. In that case the instrumentation function of structure number one is used. The body of this instrumentation function is built of three basic elements: the prologue section (**Before_Thr_Function()**), the thread function call (**thr_function**) and the epilogue section (**After_Thr_Function()**). In the prologue section all information about the thread just before call of a given thread function is collected. The epilogue plays a very similar role but it analyses the thread state just after the function call. A skeleton of the function **Before_Thr_Function()** may be specified as follows:

```
static void Before_Thr_Function(filename, line,
arg1,...,argn)
```

```
{
thr_function_rec_t thr_function_rec;

   MTV_VIEWER_LOCK;
   Set_Header(&thr_function_rec.header, file.name, line,
op_thr_function, BEFORE, 0);

   /* block of code which collects the thread state */

*(thr_function_rec_ptr_t)_MT_Viewer_Buf =
thr_function_rec;

   KILL_MTV;
   STOP_SELF;
   MTV_VIEWER_UNLOCK;
}   /* Before_Thr_Function */
```

The function body is implemented as a critical section guarded by global monitor **MT_VIERWER_LOCK** entry and **MT_VIERWER_UNLOCK** exit macro respectively. It means that in any moment of time only one thread may execute this function. Function **Set_Header()** creates a header of the packet sent to **MTV**. It contains all data which identify the called function in the tested program. Next, this packet is supplemented by any accessible information about the thread state.

The packet is send to **MTV** by the macro **KILL_MTV** and the thread is suspended by the macro **STOP_SELF**. This communication and synchronization mechanism will be described in more detail in the next section. The general structure of the function **After_Thr_Function_1()** is exactly the same as the already described **Before_Thr_Function()** function.

The **thr_function** is not in the global monitor because it is possible that a thread can be blocked after it calls that function. Real state of process and state known by **MTV** is not guarantied to be really consistent (e.g.: before **thr_function** returns system may yield execution to another thread)

The function of structure 2 is used in case, when the thread function call has not a chance to suspend the thread. The **thr_function** is called in the scope of global monitor. In this case the function call and appropriate massage, which describes that function, is sent to **MTV** sequentially. Real state of process and state known by MTV is guaranteed to be really consistent.

The last investigated structure is used only for the **thr_exit()** function call. The state of the thread is impossible to be catched after that function execution, because the calling thread disappears.

The function **After_Thr_Function_2()** is very similar to **After_Thr_Function_1()** except that there are no macros in its body because it is already called in the scope of global synchronization monitor. The skeleton of the function **After_Thr_Function_2()** is as follow:

```
static void After_Thr_Function(filename, line,
arg1,...,argn)
{
   thr_function_rec_t thr_function_rec;

   Set_Header(&thr_function_rec.header, file.name, line,
op_thr_function, AFTER, 0);

   /* block of code which collects the thread state */
```

```
*(thr_function_rec_ptr_t)_MT_Viewer_Buf =
thr_function_rec;
}   /* After_Thr_Function */
```

3.2 Communication and synchronization

As has been pointed out before, monitored program and **MTV** are two separate processes. After user's choice, which program should be monitored, **MTV** is forked by function **fork()**. Next, using the function **exec**, the child process is substituted by the monitored multi–threaded process. As a basic communication mechanism between **MTV** and tested program, FIFO queue has been chosen. This type of communication is free of deadlock danger, even when the monitored program hangs.

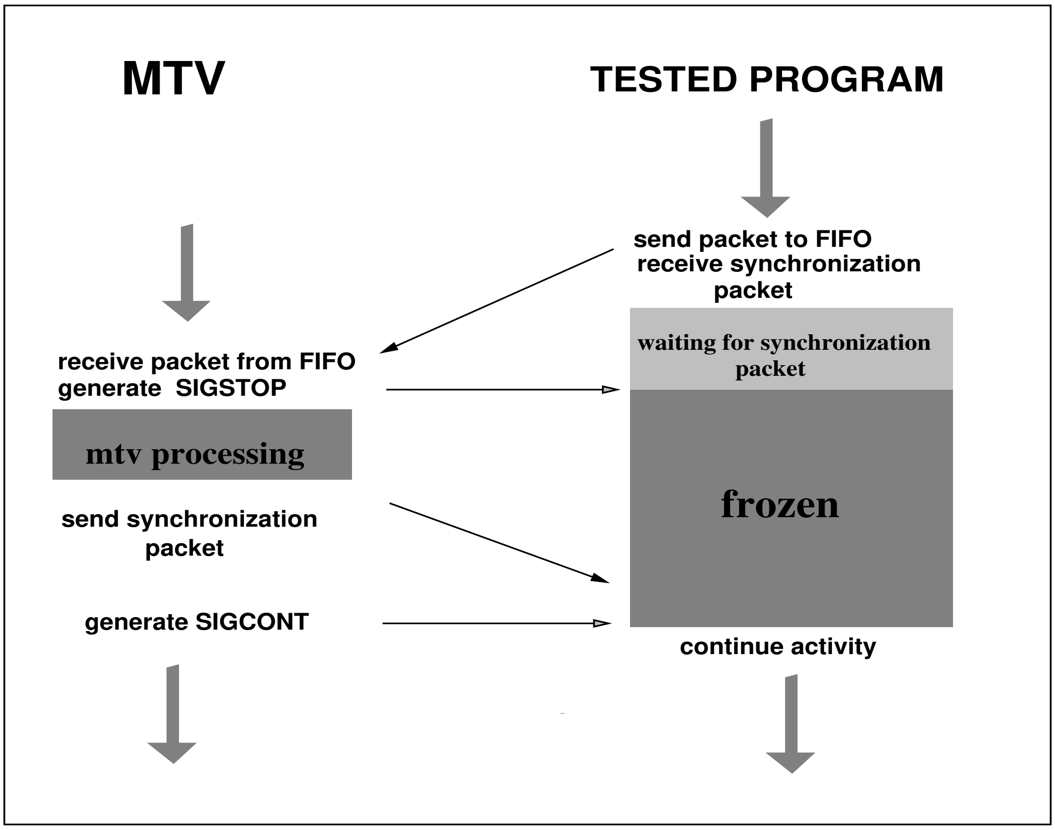

Figure 1: Synchronization between **MTV** and tested program

The proposed communication and synchronization schema is shown in Fig. 3.2. The tested program sends packet of collected data (macro **KILL_MTV**) to the FIFO handled by **MTV**. Next the tested program suspends, waiting for synchronization packet delivered by **MTV**, using the FIFO queue (macro **STOP_SELF**).

After receiving the data packet, **MTV** sends the signal SIGSTOP. This packet plays a very important role, because the tested program hangs in the critical section, in spite of possible delay in the signal SIGSTOP generation. The tested program is resumed in two steps. First, the synchronization packet is put to the FIFO, next, the SIGCONT signal is generated. The proposed mechanism has been very carefully tested on four–processor SUN 514 and works without any problems.

3.3 Mltithreaded program state collection

According to the assumption the aim of **MTV** is to completely control the state of the monitored process. It requires continuous accumulating and updating information about monitored process state. We must control all of the threads elements and their mutual relationships. Each thread element in the monitored process is connected to one record in **MTV**, which describes the current state of that element. Records of the same type form lists. We have five main types of lists in **MTV**: lists of threads, mutexes, condition variables, rw_locks and semaphores. Records are updated on the basis of the information from the monitored process (packets from FIFO). When a new thread, mutex, condition variable, rw_lock or semaphore is initiated, its record is subsequently created and added to the corresponding list. When any thread element is destroyed, its record is destroyed, too. This record contains detailed information about all elements of the thread e.g.: name, address, flags, etc. In order to control the mutual connections between all thread elements, each record owns a number of lists of the so called *small* records, where the information about the connections between thread elements is stored. For example, when a thread is waiting for a mutex, a new element with its descriptor is added to the list of *small* records in the record representation of this mutex. Simultaneously, in the so called *great* record representing that waiting thread, a new element with the address of the mutex at which that thread is blocked is added to the list of *small* records. When the thread becomes the owner of the mutex (and also of the critical section) the corresponding *small* record is removed from the list of waiting threads (in *great* record), and added to the owning list of *small* records. Simultaneously, in the *great* record representing that thread, the *small* record containing the information about the thread waiting at the mutex is removed from the waiting list of *small* records. This *small* record containing the information that, the thread has become the owner of the mutex, is added to the owning list of *small* records. The information in the lists of *small* records allows to obtain a context for all thread elements and is essential for the proposed contextual visualisation. The address of mutex, condition variable, rw_lock or semaphore is the only key to unique identification of this thread element. The descriptor is such a key to threads. Additionally each thread is associated with a serial number. When a new thread is created, its descriptors are not known, but it is possible, that thread will run immediately after having been created - that is the main reason for introduction of the serial number.

The lists of records are the main data structures in the program. User interface refers to specific elements of the lists in order to obtain the information about the state of the monitored process.

4 MTV user interface

Nowadays modern applications have a very clear modular functional structure. There is a logical layer responsible for the user interface on top of **MTV**. It has two main tasks:

- representing the dynamic state of the monitored program;
- conducting a dialogue with end user.

The user interface has been developed in X Window System environment based on OSF/Motif library [6] and that is why it is called GUI (Graphic User Interface).

4.1 GUI software structure

Programming techniques must conform to specific criteria in order to use X11 environment [3]. One of the most important assumptions is that the main loop is not in the user code but in X11 based library. The application is event driven. When an event has accrued, X11 calls one of user hook functions. In fact application consists of functions that create interface elements (collection of widgets) and action functions (callbacks).

MTV has two different logical classes of input sources:

1. events which are generated by X-server,
2. events which are generated by monitored program.

Both of them are asynchronous. The problem is: how can **MTV** — which is a single threaded process — be waiting for these two types of events. A well structured X11 based application should not transfer control to the user code for unspecified period of time. It may lead to situation, when the whole application hangs in a callback function. In our system such a potential danger exists, when the monitored program hangs because of a deadlock.

The solution of this problem is to handle both input sources of events in a uniform way. In X11 system it is possible to register a new source of input events with the XtAppAddInput() function. In **MTV** we register FIFO pipe from the monitored process to **MTV** as such a new source of input.

4.2 Monitored program execution modes

The monitored program may be executed in the following modes: **Step, Continue** and **Animate**. They may be described as follows:

Step In this mode we can watch step by step events generated by the instrumented code of the multi–thread monitored process. When the *Step* button is pressed, **MTV** sends a synchronization byte and SIGCONT signal to the monitored process provided that it is the first *Step* operation just after a message from this process has been received. Otherwise the Step action is ignored (an empty action). So *Step* button simply forwards execution to the next event. It is a basic operation used for other modes of execution implementation.

Continue **MTV** provides facilities for setting traps (breakpoints). The traps can be set in the program lines containing thread functions only. They are set and canceled by a double mouse click (or by marking and pressing *Enter*) on the chosen line of the source code in the main **MTV** window. Pressing *Continue* button will force the monitored program to stop on the nearest trap. It means, that the monitored process is running until a breakpoint is reached. It is implemented with XtAppAddWorkProc() function, which is used for Step action registration as so called work procedure. In other words when there is no event in **MTV** event queue, Step action is called until a breakpoint is reached.

Animate Animate is very similar to Continue concept. Toggle *Animate* allows monitoring of the thread program in the animation mode. The option *Animate Rate* accessible in *Option* menu, changes the animation time slice in a continuous way from 0.25 to 2 seconds per Step. It means Step action is called at each slice of time instead of the user pressing the Step button. Step action is called as timed procedure after it is registered with XtAppAddTimeOut() function.